

April 30, 2024
024/2024-VNC

EXTERNAL COMMUNICATION

Listed B3 Participants

Re.: **Testing of the Business Continuity Plan for the PUMA Trading System Electronic Platform**

B3 hereby informs you that on **Tuesday, May 7, 2024**, it will hold a validation test of the Business Continuity Plan for the PUMA Trading System (BCP-PUMA), as announced in External Communication 007/2024-VNC, dated February 22, 2024.

B3 developed BCP PUMA to allow positions, and the risk stemming from them, to be reduced. We use alternative mechanisms to execute trades and send them on to post-trade in cases where the PUMA Trading System becomes unavailable at the primary and secondary data processing centers during the trading session and cannot be restored on the same day.

The brokerage houses authorized for trading at Listed B3 must participate in at least two PCO PUMA test validations during the year, as set forth in External Communication 007/2024-VNC, dated February 22, 2024.

Full trading participants must access BCP PUMA through their internet link, using the address <https://pcopuma.b3.com.br:8197/#/login>, entering the same login and password used by supervising traders to access to ePUMA, as described in

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the Portuguese-language document *PCO PUMA – Guia do Participante* (PCO PUMA – Participant Guide) available on the B3 Extranet.

1. Mock trading session timetable

Starts	Ends	Phase
6:30 p.m.	7:00 p.m.	Login to the application and the entering of cross orders, matched trades, bids and asks.
7:00 p.m.	7:30 p.m.	B3 processes data.

2. Scope of the mock trading session

The mock trading session will cover the following:

- Access to <https://pcopuma.b3.com.br:8197/#/login>;
- Entry of cross orders, as described in the validation script;
- Entry of matched trades, as described in the validation script;
- Entry of bids and asks, as described in the validation script;
- Receipt of alert messages;
- Interaction with B3 as counterparty or to facilitate execution of the specific mock trading scenario desired by the participant; and
- Regular interaction with the B3 Support – Trading by telephone on +55 11 2565-5021/5022 and by email at tradingsupport@b3.com.br and trading@b3.com.br.

The mock trading contingency session will **not** cover:

- Use of post-trade, settlement and risk systems or clearinghouse margin and collateral management systems;

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- Production and delivery of BCP PUMA validation test reports and files; and
- Orders entered via the PUMA Trading System.

3. Other information

Participation in the mock trading session must be confirmed no later than **12 noon** on Monday, **May 06, 2024**, by sending an email to tradingsupport@b3.com.br with "BCP PUMA test" in the subject line, and a message identifying the institution, naming the individuals who will participate and supplying their contact details (telephone numbers and email addresses). In response to this confirmation, the participant will receive the mandatory test script with the activities that will be performed during the test.

Further information can be obtained from B3 Services – Trading, by telephone on +55 11 2565-5021/5022 or by email at tradingsupport@b3.com.br and trading@b3.com.br.

Mario Palhares

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